

Table 4 Small Arms Trade Transparency Barometer 2020, covering top and major exporter activities in 2017*

Exporter	Total points, 2020 Barometer	Total points, 2019 Barometer	National report ** / regional report ***	UN Comtrade**	UN Register**	OSCE**
Switzerland	21.75	21.25	X	X	X	o
Germany	20.00	19.50	X/EU	X	X	X
Netherlands	20.00	19.50	X/EU	X	X	X
Serbia	19.25	18.25	X/SEE	X	X	X
United Kingdom	19.25	18.25	X/EU	X	X	X
Czech Republic	17.25	17.00	X/EU	X	X	X
Romania	17.25	15.75	X/EU	o	X	X
Slovakia	17.25	15.75	X/EU	X	X	X
Spain	16.75	16.75	X/EU	X	X	o
United States	16.50	16.25	X ^a	X	X	X
Italy	16.25	15.50	X/EU	X	X	X
Poland	16.25	17.00	X/EU	X	X	X
Belgium	15.50	15.50	X/EU	X	X	X
Portugal	15.50	15.75	o/EU	X	X	X
Sweden	15.25	15.50	X/EU	X	X	X
Canada	15.00	14.50	X	X	X	X
France	14.75	15.50	X/EU	X	X	o
Hungary	14.75	16.25	X/EU	X	X	X
Denmark	14.50	14.00	X/EU	X	o	X
Finland	14.25	14.75	X/EU	X	X	o
Australia	14.00	13.50	X	X	X	n/a
Lithuania ^c	14.00		o/EU	X	X	X
Austria	13.50	14.00	o/EU	X	X(16)	X
Norway	13.25	13.75	X	X	o	X

ATT annual report**	ATT initial report**	PoA**	Total timeliness (1.50 max.)	Total access and consistency (2.00 max.)	Total clarity (5.00 max.)	Total comprehensiveness (6.50 max.)	Total deliveries (4.00 max.)	Total licences granted (4.00 max.)	Total licences refused (2.00 max.)
X	X	X	1.50	1.50	4.50	6.25	3.00	3.50	1.50
X	X	X	1.50	2.00	4.50	3.75	3.50	3.00	1.75
X	X	X	1.50	2.00	5.00	6.00	3.00	1.50	1.00
X	X	X	1.50	1.50	3.25	5.50	3.50	2.00	2.00
X	X	X	1.50	2.00	4.50	4.25	2.50	3.50	1.00
X	X	X	1.50	1.50	3.50	4.25	3.00	1.50	2.00
X	X	X	1.50	1.50	3.00	4.50	3.50	2.50	0.75
X	X	X	1.50	1.50	3.50	4.25	3.00	1.50	2.00
X	X	X	1.50	2.00	3.00	3.75	3.00	1.50	2.00
n/a	n/a	X	1.50	2.00	3.75	4.25	3.00	2.00	0.00
X	X	X	1.50	1.50	4.25	5.00	2.50	1.50	0.00
X	X	X	1.50	1.50	3.00	3.75	3.00	1.50	2.00
X	X	X	1.50	2.00	3.50	2.25	3.00	2.50	0.75
X	X	X	1.50	1.50	2.75	3.75	3.50	2.50	0.00
X	X	X	1.50	1.50	3.25	4.75	2.50	1.50	0.25
n/a ^b	n/a ^b	X	1.50	1.50	3.25	4.25	3.50	0.00	1.00
X	X	X	1.50	1.50	3.00	4.00	3.00	1.50	0.25
X	X	X	1.50	1.50	2.75	4.50	3.00	1.50	0.00
X	X	X	1.50	1.50	2.75	4.75	2.50	1.50	0.00
X	X	X	1.50	1.50	2.75	3.25	3.00	2.00	0.25
X	X	X	1.50	2.00	2.75	3.25	3.00	1.50	0.00
X	X	X	1.50	1.50	2.75	3.75	3.00	1.50	0.00
X	X	o	1.50	1.00	2.75	3.25	3.00	2.00	0.00
X	X	X	1.50	1.50	3.75	3.50	3.00	0.00	0.00

Exporter	Total points, 2020 Barometer	Total points, 2019 Barometer	National report ** / regional report ***	UN Comtrade**	UN Register**	OSCE**
South Africa	13.25	13.50	X ^d	o	X	n/a
Croatia	12.75	14.50	X	X	o	o
Bulgaria	12.50	12.00	X/EU	o	X	o
Japan	12.25	11.75	o	X	X	n/a
Cyprus	11.75	9.25	o	X	X	X
South Korea	11.75	10.25	X	X	X	o
Mexico	11.50	13.75	o	X	o	n/a
India	11.25	11.25	o	X	X	n/a
Turkey	11.00	11.25	o ^g	X	X	X
Argentina	10.50	11.00	o	X	X	n/a
Bosnia and Herzegovina	10.50	9.00	o/SEE	o	X	o
Peru	10.00	9.25	o	X	o	n/a
Russian Federation	9.50	9.25	o	X	X	X
Philippines	9.25	10.25	o	X	o	n/a
Brazil	9.00	9.50	o	X	X	n/a
Taiwan, China	9.00	10.00	X ^k	X	n/a	n/a
China	8.75	8.75	o	X	X	n/a
Pakistan	8.75	8.00	o	X	o	n/a
Ukraine	8.25	9.00	X	o	X	X
Thailand	8.00	10.25	o	X(16)	o	n/a
Singapore	7.50	8.00	o	X	X	n/a
UAE	7.25	8.00	o	X(16)	o	n/a
Israel	1.25	1.25	o	o	X	n/a
Saudi Arabia	0.50	0.50	o	o	o	n/a
Iran	0.00	0.00	o	o	o	n/a
North Korea	0.00	0.00	o	o	o	n/a

ATT annual report**	ATT initial report**	PoA**	Total timeliness (1-50 max.)	Total access and consistency (2-00 max.)	Total clarity (5-00 max.)	Total comprehensiveness (6-50 max.)	Total deliveries (4-00 max.)	Total licences granted (4-00 max.)	Total licences refused (2-00 max.)
X	X	o	1.50	1.00	2.75	3.00	2.00	3.00	0.00
X	X	X	1.50	1.50	2.75	3.50	2.00	1.50	0.00
X	X	X	1.50	1.50	2.75	2.25	3.00	1.50	0.00
X	X	X	1.50	1.50	2.50	3.75	3.00	0.00	0.00
X ^e	X ^e	o	1.50	1.00	1.75	3.25	2.50	1.50	0.25
n/a ^f	X ^f	X	1.50	1.00	2.50	3.75	3.00	0.00	0.00
X	X	X	1.50	1.50	2.50	3.00	3.00	0.00	0.00
n/a	n/a	X	1.50	1.00	2.50	3.25	3.00	0.00	0.00
n/a	n/a	X	1.50	0.50	2.25	3.75	3.00	0.00	0.00
X	X ^h	X	1.50	1.00	2.50	3.00	2.50	0.00	0.00
X	X	X	1.50	0.50	2.50	2.00	2.50	1.50	0.00
X ⁱ	X	X	1.50	1.00	2.00	3.00	2.50	0.00	0.00
n/a	n/a	X	1.50	1.00	2.50	2.00	2.50	0.00	0.00
n/a	n/a	X	1.50	0.50	2.50	2.25	2.50	0.00	0.00
n/a	n/a ^l	X	1.50	1.00	2.00	2.00	2.50	0.00	0.00
n/a	n/a	n/a	1.50	1.50	1.50	1.50	3.00	0.00	0.00
n/a	n/a	X	1.50	1.00	2.00	1.75	2.50	0.00	0.00
n/a	n/a	X(16)	1.50	0.50	1.75	2.50	2.50	0.00	0.00
n/a	n/a	X	1.50	1.00	1.75	2.00	2.00	0.00	0.00
n/a	n/a	X	0.00	0.00	2.25	2.75	3.00	0.00	0.00
n/a	n/a	X	1.50	1.00	1.50	1.50	2.00	0.00	0.00
n/a	n/a	X	0.00	0.00	2.25	2.50	2.50	0.00	0.00
n/a	n/a	o	0.00	0.50	0.25	0.50	0.00	0.00	0.00
n/a	n/a	X	0.00	0.00	0.50	0.00	0.00	0.00	0.00
n/a	n/a	X(16)	0.00	0.00	0.00	0.00	0.00	0.00	0.00
n/a	n/a	o	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Notes

The online version of the Transparency Barometer incorporates corrections that may affect country scores and rankings. For this reason the online version rather than the printed one should be considered definitive. See Small Arms Survey (n.d.a).

Grey shaded cells indicate where countries do not need to report to a particular instrument, given that they were not party to the mechanism in question as of 31 December 2017.

- * Top and major exporters are countries that export—or are believed to export—at least USD 10 million worth of small arms, light weapons, their parts, accessories, and ammunition in a given year. The 2020 Barometer includes any country that qualified as a top or major exporter at least once during the 2001–17 calendar years; it assesses arms trade activities for 2017.
- ** X indicates that a report was issued or submitted by the 2020 Barometer’s cut-off date of 31 January 2019—that is, 13 months after the year in which the trade activities took place. X(year) indicates that, because a report was not issued or submitted by the Barometer’s cut-off date, the country was evaluated on the basis of its most recent submission, which covered activities for the year reported in brackets. o indicates that no report was submitted. n/a indicates that no report was submitted either because the country was not party to that instrument or because the country was not due to report to this instrument in that specific time period. See also the explanatory notes on the next page.
- *** The Barometer assesses information provided in the following regional reporting instruments: (1) the EU’s *Twentieth Annual Report* (CoEU, 2018b), which reflects exports of military equipment carried out by EU member states in 2017 and appears as ‘EU’ in the Barometer; and (2) the regional report compiled by SEESAC (SEESAC, 2019), which covers data on transfers completed in 2017 by exporters from South-eastern and Eastern Europe and appears as ‘SEE’ in the Barometer.
- ^a For the purposes of the Barometer, the US national report refers to the State Department report issued pursuant to Section 655 of the Foreign Assistance Act on direct commercial sales, as well as the report on foreign military sales prepared by the US Department of Defense. For the third time the 2020 Barometer assesses information on foreign trade provided via USA Trade Online (US CB, n.d.).
- ^b Canada became an ATT state party on 17 September 2019. It is due to submit its ATT initial report in September 2020 and its first annual report on 31 May 2021.
- ^c Lithuania appears in the Barometer for the first time because it exported at least USD 10 million worth of small arms, light weapons, their parts, accessories, and ammunition in 2017.
- ^d In the evaluation of South Africa’s national report the term ‘conveyance’ is interpreted to mean transit in accordance with the definition provided in the National Conventional Arms Control Act (South Africa, 2002, art. 1(vii)).
- ^e Cyprus has requested that its ATT initial and annual reports be posted on the area of the website accessible only to ATT states parties.
- ^f South Korea submitted its ATT initial report on 25 February 2018, after the cut-off date for the 2019 Barometer, so it was scored in the present edition. South Korea submitted its first annual report in 2019 for its 2018 activities. This report will be scored in the 2021 Barometer.
- ^g The SIPRI Database on National Reports on Arms Exports indicates that the Turkish Defence Industry Manufacturers Association publishes an annual report on Turkey’s arms exports (SIPRI, n.d.). The Barometer does not consider this report when assessing the country’s transparency because it is not produced by a government agency and thus is not representative of national reporting practices.
- ^h Argentina has requested that its ATT initial report be posted on the area of the website accessible only to ATT states parties.

- ⁱ Peru submitted its first ATT annual report in 2017.
- ^j Brazil became an ATT state party on 12 November 2018. Brazil did not submit its ATT initial report, which was due in November 2019.
- ^k Customs data provided by Taiwan, China has been categorized as a national report rather than as a submission to UN Comtrade. The data was retrieved from the Directorate General of its Customs Administration (Taiwan, China, MoF, n.d.).

Scoring system

The scoring system for the 2020 Barometer allows exporters to earn a maximum of 25 points on the basis of 42 criteria in 7 parameters: timeliness; access and consistency; clarity; comprehensiveness; the level of detail provided on actual deliveries; licences granted; and licences refused. For detailed scoring guidelines, see Table A4 in the Annexe.

Explanatory notes

The 2020 Barometer assesses national arms export reports that were made publicly available between 1 January 2017 and 31 January 2019. It also reflects information submitted by states to regional reporting mechanisms that were published after 31 January 2019 and before the Barometer was finalized (1 March 2020), although the submission of this data does not receive points for timeliness.

The 2020 Barometer takes account of national submissions to the UN Register from 1 January 2017 to 31 January 2019, as well as information submitted to UN Comtrade on 2017 exports. The 2020 Barometer takes into account states parties' initial and annual reports to the ATT submitted from 1 January 2017 to 31 January 2019; countries' biennial reports to the PoA submitted from 1 January 2017 to 31 January 2019; and national submissions to the OSCE dated from 1 January 2017 to 31 January 2019.

The fact that the Barometer is based on multiple reporting mechanisms—international, regional, and national—works to the advantage of exporters that submit data to all of these mechanisms. While Barometer scores acknowledge the provision of information to any of the reporting mechanisms, the same information is not credited twice.